



## **PRIVACY POLICY FOR NORTHERN ELECTRIC PLC'S PREFERENCE SHAREHOLDERS**

We will only use personal information we hold about you in accordance with this privacy policy, which applies if you are an individual preference shareholder in Northern Electric plc. We take the privacy of all our shareholders very seriously and are committed to protecting your privacy.

This privacy policy explains how we may obtain personal information about you and how we will process that personal information from time to time. We reserve the right to modify our privacy policy from time to time and, when this happens, we will advise you at the first available opportunity.

### **WHAT INFORMATION ABOUT YOU WILL WE USE?**

The categories of personal information we collect and use include:

- i) Your name, address and contact details;
- ii) Details of your holding of preference shares in Northern Electric plc including dates of purchase, sale and/or transfer of your preference shares and any other relevant information;
- iii) Details of any dividend payments made to you; and
- iv) Details of your bank account, into which your dividend payments are made.

### **WHY WE COLLECT PERSONAL INFORMATION ABOUT YOU AND HOW WE USE THAT INFORMATION**

We use your personal information in order to administer your holding of preference shares in Northern Electric plc and obtain personal information about you from a limited number of sources, including from you and from other parties involved in any transactions you may have undertaken in relation to your preference shares.

You are not obliged to provide us with your personal information. However, if you do not do so, we will be unable to administer your preference shareholding in an efficient way.

Given that you hold preference shares in Northern Electric plc, we believe that the ways in which we process your personal information in order to administer your shareholding do not override your interests or fundamental rights and freedoms as the data subject.

### **WITH WHOM WILL WE SHARE YOUR PERSONAL INFORMATION?**

We may disclose your personal information to:

- i) Other companies in the Northern Powergrid group;
- ii) Third parties when we are required to do so by law, including to Her Majesty's Revenue and Customs, or for regulatory purposes; and
- iii) Companies or other organisations, with which we have a contract in order to administer your holding of preference shares, including Equiniti Limited, the registrar, and our mailing services provider in order to communicate with you and to provide you with your dividend payments and copy of the annual report and accounts.

We will only transfer your personal information to third parties on the basis set out in this privacy policy in order to provide services to you as a preference shareholder and, where we do so, appropriate arrangements to protect that information will be in place.

We will not transfer your personal information to a third party outside of the European Economic Area unless appropriate safeguards are in place or you have confirmed to us that you are happy for us to do so.

## **FOR HOW LONG WILL WE RETAIN YOUR PERSONAL INFORMATION?**

Depending on the circumstances, we will retain your personal information for at least seven years after you cease to hold your preference shares or for so long as we need to do so for legal, litigation or taxation reasons.

## **PROTECTING YOUR INFORMATION**

Equiniti Limited provides registration services in respect of your shareholding and Equiniti Limited has in place appropriate technical and organisational measures to protect your personal information and keep it secure.

## **CONTACTING US AND YOUR RIGHTS**

As you hold preference shares in Northern Electric plc, the controller of your personal information is Northern Electric plc.

If you would like to contact us about how we process your personal information, you can do so by writing to the Data Protection Officer, whose contact details are:

John Elliott  
Head of Regulatory Compliance  
Northern Powergrid  
Lloyds Court  
78 Grey Street  
Newcastle upon Tyne  
NE1 6AF

Subject to certain conditions, you have the right to:

- i) Access your personal information;
- ii) Have your personal information rectified or erased;
- iii) Restrict our processing of your personal information;
- iv) Object to our processing of your personal information; and
- v) The portability of your personal information, e.g. to receive that information in a “machine-readable format”.

If we are processing any of your personal data based on you having given us consent to do so, you have the right to withdraw that consent at any time. However, this will not affect the lawfulness of any processing we may have undertaken based on your consent before it is withdrawn.

We will not use any automated decision-making in our processing of your personal data; and

You have the right to complain to the Information Commissioner’s Office about the way in which we process your personal information. You may do so by using the “Report a Concern” button on the Information Commissioner’s website at <https://ico.org.uk/> or by writing to:

Information Commissioner's Office  
Wycliffe House  
Water Lane  
Wilmslow  
Cheshire  
SK9 5AF